

# Operating Systems

## Memory Management

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# Agenda

- Memory Management
- Real Mode
- Protected Mode and Virtual Memory
- Page Replacement Strategies

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# Memory Management

- Essential function of OS
- Required for ...
  - **allocating** memory to programs at their request
  - **Freeing** memory which are allocated to programs once they are not needed any longer
- Three concepts for memory management:
  - 1 **Static partitioning**
  - 2 **Dynamic partitioning**
  - 3 **Buddy memory allocation**



Source: Andries L Steenkamp (Wikipedia), CC-BY-SA-4.0



# Your Ideas

How would you implement a memory management?

# Main Problem: Fragmentation

- When allocating memory for **processes** the memory may become **fragmented**, i.e., get *holes*
- Two types of fragmentation can happen:
  - **internal fragmentation:**  
The allocated chunk of memory is not entirely used
  - **external fragmentation:**  
There is unused (not allocated) memory between the allocated chunks of memory

# Concept 1: Static Partitioning

- The main memory is split into **partitions**
- Partitions can be of **equal size** or of **different sizes**
- **Drawbacks:**
  - **Internal fragmentation** occurs in any case  $\implies$  inefficient
    - The problem may be mitigated by partitions of different sizes
  - The number of partitions limits the number of possible processes
- **Challenge:**

A process requires more memory than any partition provides

  - $\implies$  The process must be implemented in a way that only a part of its program code is stored inside the main memory
    - When program code (modules) are loaded into the main memory *Overlay* occurs
      - $\implies$  modules and data may become overwritten

IBM OS/360 MFT in the 1960s implemented static partitioning

# Static Partitioning: Partitions of Equal Size

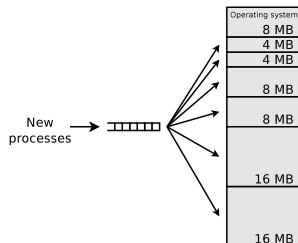
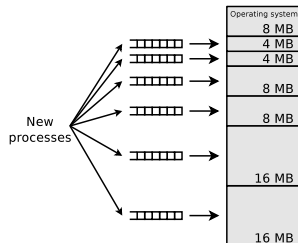
- If **partitions of equal size** are used, it does not matter which free partition is allocated to a process
- If no partition is free, a process from main memory need to be **replaced**
  - The decision of which process will be replaced depends on the → **replacement** method used

Partitions  
of equal size

Operating system 8 MB
8 MB
8 MB
8 MB
8 MB
8 MB
8 MB
8 MB

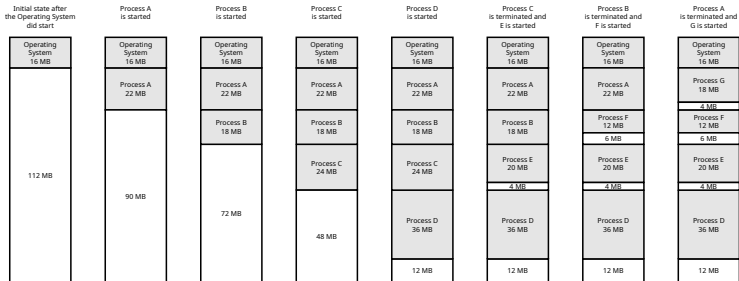
# Static Partitioning: Partitions of Different Sizes

- To reduce internal fragmentation, **partitions of different sizes** may be used
- Processes should get a partition allocated, which fits as precise as possible
- Two possible ways exist to allocate partitions to processes:
  - 1 A **separate process queue for each partition**
    - **Drawback:** Some partitions may never be used
  - 2 A **single queue for all partitions**
    - The allocation of partitions to processes can be carried out in a flexible way
    - To changed requirements of processes can be reacted quickly



# Concept 2: Dynamic Partitioning

- Each process gets a partition of main memory with the exact required size allocated



- External fragmentation** occurs in any case  $\implies$  inefficient
  - Possible solution: *Defragmentation*
    - Requirement: Relocation of memory blocks must be supported
    - References in processes must not become invalid by relocating partitions

IBM OS/360 MVT in the 1960s implemented dynamic partitioning

How to find a free block?

# Implementation Concepts for Dynamic Partitioning

## ■ First Fit

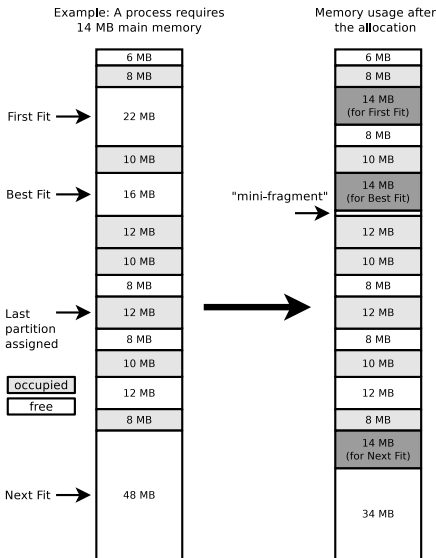
- Searches for a free block, starting from the beginning of the address space
- Quick method

## ■ Next Fit

- Searches for a free block, starting from the latest allocation
- Fragments quickly the large area of free space at the end of the address space

## ■ Best Fit

- Searches for the free block, which fits best
- Produces many mini-fragments and is slow





## Concept 3: Buddy Memory Allocation

- Originally invented by *Harry Markowitz* in 1965 (according to *Donald Knuth*)
- **Main idea:**
  - Recursively split memory into halves
  - Each memory block has its **buddy**, a block of equal size
  - If two **buddies** are free, they are merged again

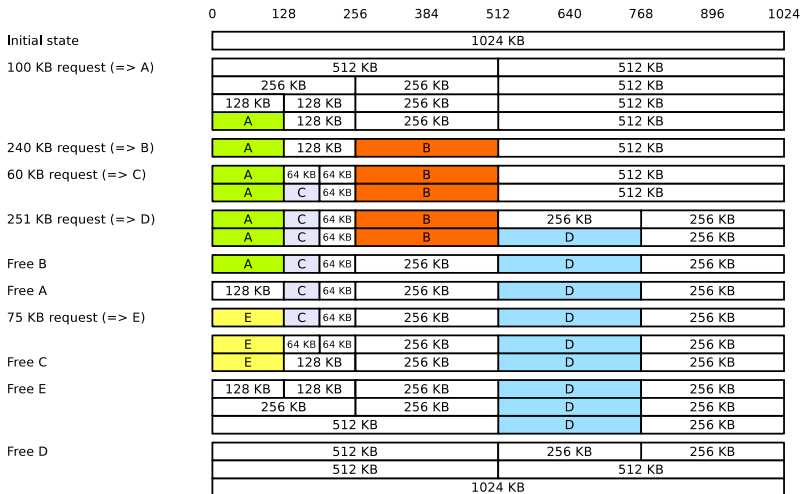
### Buddy memory management in practice

- The Linux kernel implements a variant of the buddy memory management for the page allocation
- The operating system maintains for each possible block size a list of free blocks
- <https://www.kernel.org/doc/gorman/html/understand/understand009.html>

# Buddy Memory Allocation: Algorithm

- Initially, a single block covers the entire memory
- Each memory request is rounded up to the **next higher power of two** and a matching free block is searched
  - If no matching block is found, a block of double the size is searched and split into halves (so-called *buddies*)
    - The first block is then allocated to the process
  - If no block of double size exists, a block of four times size is searched, etc. . .
- When memory is freed, it is checked whether the corresponding **buddy** is free, too
  - If yes, the **buddies** are merged again

# Buddy Memory Allocation Example



## ■ Drawback: Internal and external fragmentation

# Information about the Memory Fragmentation

- The row labeled DMA shows the first 16 MB of the system
  - The size of the address bus of the Intel 80286 is  $2^{24} \Rightarrow$  16 MB memory can be addressed maximum
- The row labeled DMA32 shows all memory  $> 16$  MB and  $< 4$  GB
  - The address bus size of 32 bit x86 CPUs is  $2^{32} \Rightarrow$  4 GB memory can be addressed
- The row labeled Normal shows all memory  $> 4$  GB
  - The size of the address bus of modern computer systems is usually 36, 44 or 48 bits

Further information about the rows: <https://utcc.utoronto.ca/~cks/space/blog/linux/KernelMemoryZones>

```
$ cat /proc/buddyinfo
```

```
Node 0, zone  DMA      1      0      0      0      0      0      0      0      0      1      2      2
Node 0, zone  DMA32 22898 13478 4609 2950 786 561 191 80 27 27 24
Node 0, zone  Normal 4700 2609 1564 860 641 277 104 46 16 9 1
```

- **column 1**  $\Rightarrow$  number of free memory chunks (**buddies**) of size  $2^0 * \text{PAGESIZE} \Rightarrow 4$  kB
- **column 2**  $\Rightarrow$  number of free memory chunks (**buddies**) of size  $2^1 * \text{PAGESIZE} \Rightarrow 8$  kB
- **column 3**  $\Rightarrow$  number of free memory chunks (**buddies**) of size  $2^2 * \text{PAGESIZE} \Rightarrow 16$  kB
- ...
- **column 11**  $\Rightarrow$  number of free memory chunks (**buddies**) of size  $2^{10} * \text{PAGESIZE} \Rightarrow 4096$  kB = 4 MB

PAGESIZE = 4096 Bytes = 4 kB

The pagesize of a Linux system can be checked via the command: `$ getconf PAGESIZE`

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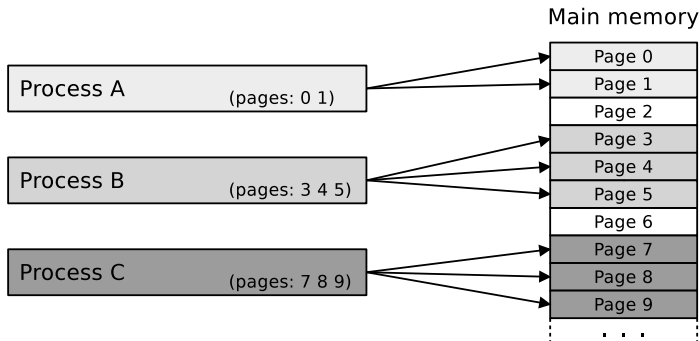
# Accessing Memory

How do processes access (allocate) memory?

## Recap

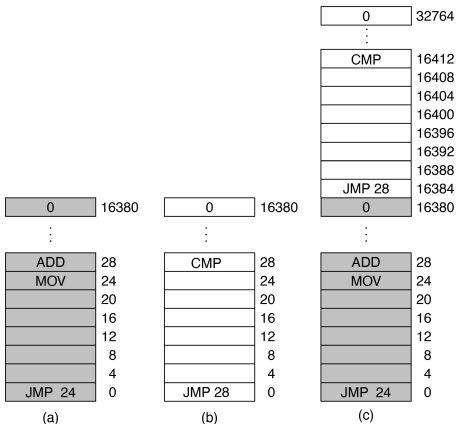
- In 16-bit architectures  $2^{16}$  memory addresses, i.e., up to 65,536 Bytes can be addressed
- In 32-bit architectures  $2^{32}$  memory addresses, i.e., up to 4,294,967,296 Bytes = 4 GB can be addressed
- In 64-bit architectures,  $2^{64}$  memory addresses, i.e., up to 18,446,744,073,709,551,616 Bytes = 16 Exabyte can be addressed

# Idea: Direct Memory Access



- Most obvious idea: **Direct memory access** by the processes  
⇒ **Real Mode (Real Address Mode)**
- Operating mode of x86-compatible CPUs
- **No memory protection!**
  - Each process can access the entire memory, which can be addressed
    - Not ideal for general-purpose multitasking OS

# Relocation Problem

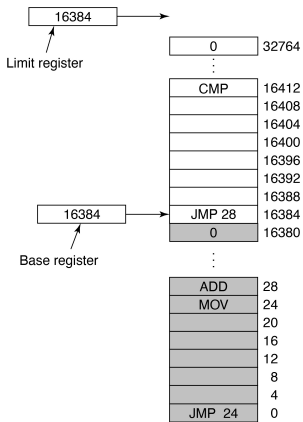


Source: Tanenbaum, Modern Operating Systems 4e, (c) 2014 Prentice-Hall, Inc. All rights reserved.

- Two problems occur when processes access physical memory addresses
  - One process can **overwrite data** from another process
  - Access (e.g., jumps) to **absolute addresses** require knowledge about the position in memory



# Base and Limit Register



(c)

Source: Tanenbaum, Modern Operating Systems 4e, (c) 2014 Prentice-Hall, Inc. All

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- **Base and limit registers** can be used for separating the address spaces for processes
  - The **base register** contains the physical address of the **program start**
  - The **limit register** contains its **length**

# Real Mode (Real Address Mode)

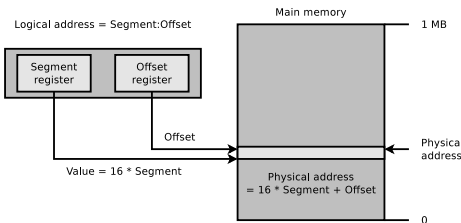
- A maximum of 1 MB main memory can be addressed
  - Maximum main memory of an Intel 8086
  - **Reason:** The address bus of the 8088 contains only 20 lines
    - 20 lines  $\Rightarrow$  20 bit memory addresses  $\Rightarrow 2^{20} \approx 1$  MB memory can be addressed by the CPU
  - Only the first 640 kB (**lower memory**) can be used by the operating system (MS-DOS) and the applications
    - The remaining 384 kB (**upper memory**) contain the BIOS and *add-on* devices like the graphic card
- The term *real mode* was introduced with the Intel 80286
  - In real mode, a CPU accesses the main memory equal to a 8086
  - Each x86-compatible CPU starts in real mode

<https://wiki.osdev.org/UEFI>

- On a legacy system with a **BIOS** firmware, after the BIOS has done the system initialization (memory controller configuration, PCI bus configuration, graphics card initialization, etc.), the Real Mode ist started. The bootloader or the operating system has to switch to protected mode (paging)
- On a system with an **UEFI** firmware (Unified Extensible Firmware Interface), the firmware does all these initialization steps and switches into protected mode (paging)

# Real Mode – Addressing

- The main memory is split into **segments** of equal size
  - The memory **address length** is 16 Bits
  - The size of each segment is 64 kBytes ( $= 2^{16} = 65,536$  Bytes)
- Main memory addressing is implemented via segment and offset
  - Two 16 bits long values, which are separated by a colon  
Segment:Offset
  - Segment and offset are stored in the two 16-bit large registers **segment register** (= base address register) and **offset register** (= index register)
- The **segment register** stores the segments number
- The **offset register** points to an address between 0 and  $2^{16}$  ( $=65,536$ ), relative to the address in the segment register



# Real Mode in MS-DOS

```

MS-DOS 6.22 [wird ausgeführt] - Oracle VM VirtualBox
Datei Maschine Anzeige Eingabe Geräte Hilfe
Starting MS-DOS...

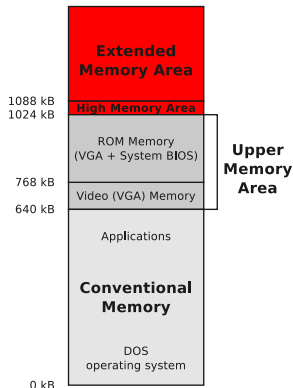
HIMEM is testing extended memory...done.

C:\>C:\DOS\SMARTDRV.EXE /X
C:\>mem

Memory Type      Total = Used + Free
-----
Conventional     639K   47K   592K
Upper              0K     0K     0K
Reserved          0K     0K     0K
Extended (XMS)   31,744K 2,112K 29,632K
-----
Total memory     32,383K 2,159K 30,224K
Total under 1 MB  639K   47K   592K

Largest executable program size      592K (606,336 bytes)
Largest free upper memory block       0K      (0 bytes)
MS-DOS is resident in the high memory area.

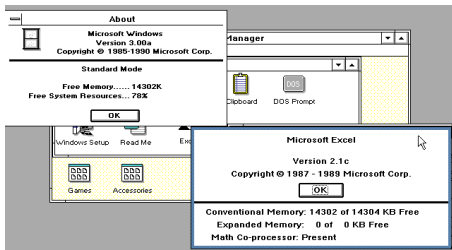
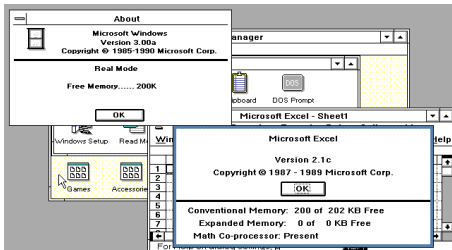
C:\>
  
```



- Real mode is the default mode of MS-DOS and compatible operating systems (e.g. PC-DOS, DR-DOS and FreeDOS)

# Real Mode in Microsoft Windows

- Newer operating systems only use it during the start phase and then switch to the **protected mode**



- Windows 2.0 runs only in real mode
- Windows 2.1 and 3.0 can run either in real mode or protected mode
- Windows 3.1 and later revisions run only in protected mode

Image Source: neozed. <https://virtuallyfun.com/wordpress/2011/06/01/windows-3-0/>

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# Memory Addressing

- With **singletasking** the main memory is split into two areas
  - **Kernel space** = area for the operating system
  - **User space** = area for the currently running process
- With **multitasking** the user space can be further subdivided so that other processes can be stored in the memory
- The following requirements must be considered:
  - Relocation
  - Protection
  - Shared usage

# Memory Management Demands

## ■ Relocation

- If processes are replaced from the main memory, it is unknown at which address they will be inserted later into the main memory again
- Finding: Processes must not refer to physical memory addresses

## ■ Protection

- Memory areas must be protected against accidental or unauthorized access by other processes
- Finding: Access attempts must be verified (by the CPU)

## ■ Shared use

- Despite memory protection, it must be possible for processes to collaborate via shared memory

## ■ Increased capacity

- 1 MB is not enough
- It should be possible to use more memory as physically exists
- Finding: If the main memory is full, parts of the data can be swapped

## ■ Solution: Protected mode and virtual memory

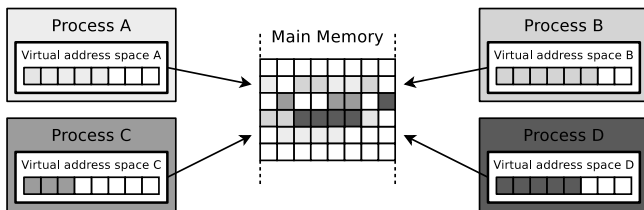


# Protected Mode

- Operating mode of x86-compatible CPUs
  - Introduced with the Intel 80286
- Increases the amount of memory, which can be addressed
  - 16-bit protected mode at 80286  $\implies$  16 MB main memory
  - 32-bit protected mode at 80386  $\implies$  4 GB main memory
  - For later processors, the amount of addressable memory depends on the number of bus lines in the address bus
- Implements the **virtual memory** concept
  - Processes **do not use physical memory addresses**
  - Each process has its own separate **address space**
    - **Independent** from the storage technology
    - Consists of **logical memory addresses** (numbered from address 0 upwards)

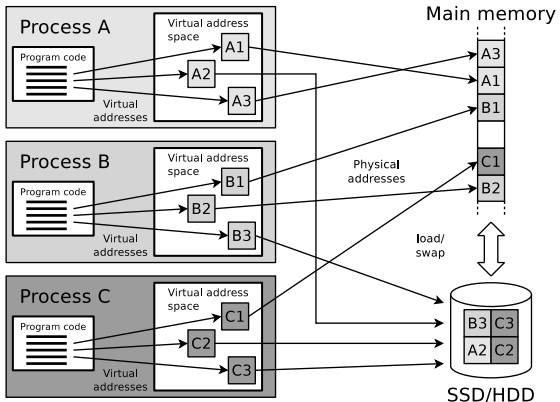
# Virtual Memory (1/2)

- **Address spaces** can be created or erased as necessary and they are protected
  - I.e., the memory within this **address space** can only be accessed by the **owning** process
- The virtual memory is **mapped** to the physical memory



- **Virtual memory** improves the utilization of the main memory
    - The memory for a process does not need to be contiguous in main memory
- ⇒ fragmentation of the main memory is not a problem

# Virtual Memory (2/2)



- More memory than physically available in the system can be addressed and used
- **Swapping** is performed transparently for users and processes

In protected mode, the CPU supports two memory management methods

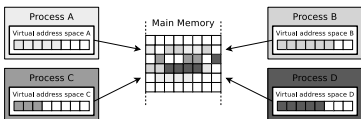
- **Segmentation** exists since the 80286 (not relevant any more)
- **Paging** (see slide 32) exists since the 80386
- Both methods are implementation variants of the **virtual memory** concept

# Paging: Paged Memory Management

- **Virtual pages** of the processes are mapped to **physical pages** in the main memory
  - All pages have the same length
    - The page size is usually 4 kB (at the Alpha architecture and the UltraSPARC architecture: 8 kB, at Apple Silicon: 16 kB)

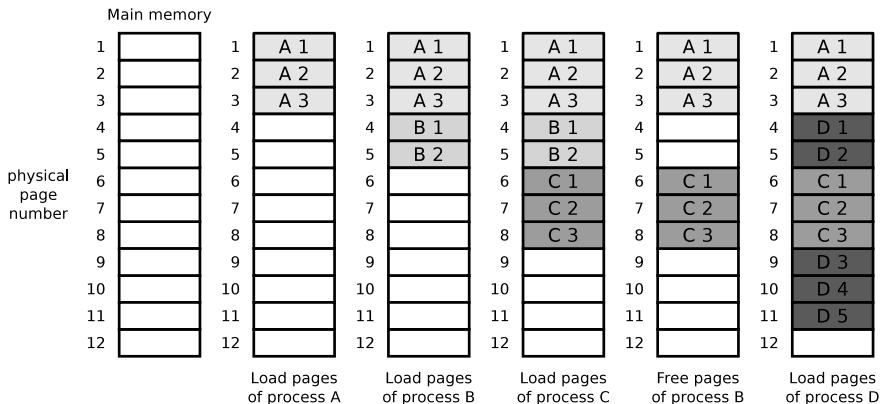
- **Benefits:**

- **External fragmentation** is irrelevant
- **Internal fragmentation** can only occur in the last page of each process
- The operating system maintains **for each process a page table**
  - Storing the locations of the individual pages of the process
- Processes **only** work with **virtual memory addresses**
  - Virtual memory addresses consist of two parts
    - The more significant part is the **page number**
    - The lower significant part is the **offset** (address inside a page)
  - The length of the virtual addresses is architecture dependent (depends on the number of bus lines in the address bus), and is 16, 32, or 64 bits



# Allocation of Process Pages to free Physical Pages

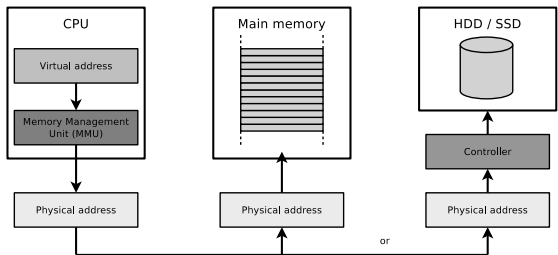
- Processes do not need to be located contiguous inside main memory  
⇒ No external fragmentation



The topic is well explained in: [Operating Systems, William Stallings, 4<sup>th</sup> edition, Prentice Hall \(2001\)](#)

# Address Translation by the Memory Management Unit

- The CPU translates **virtual memory addresses** via the **MMU** and the **page table** into physical addresses
  - The OS determines whether the physical address belongs to the main memory or to a SSD/HDD



- If the desired data is located on the SSD/HDD, the operating system must copy the data into the main memory
- If the main memory has no more free capacity, the operating system must relocate (*swap*) data from the main memory to the SDD/HDD

The topic MMU is clearly explained by...

- **Modern Operating Systems**, Andrew S. Tanenbaum, 2<sup>nd</sup> edition, Pearson (2009), P. 223-226

# Implementation of the Page Table

- Impact of the page length:
  - **Short pages:** Less capacity loss caused by internal fragmentation, but bigger page table
  - **Long pages:** Shorter page table, but more capacity loss caused by internal fragmentation

- Page tables are stored inside the main memory

$$\text{Maximum page table size} = \frac{\text{Virtual address space}}{\text{Page size}} * \text{Size of each page table entry}$$

- Maximum page table size with 32 bit operating systems:

$$\frac{4 \text{ GB}}{4 \text{ kB}} * 4 \text{ Bytes} = \frac{2^{32} \text{ Bytes}}{2^{12} \text{ Bytes}} * 2^2 \text{ Bytes} = 2^{22} \text{ Bytes} = 4 \text{ MB}$$

- Each process in a multitasking operating system requires a page table

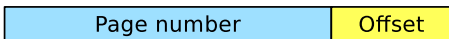
In 64 bit operating systems, the page tables of the individual processes can be significantly larger

However, since most everyday processes do not require several gigabytes of memory, the overhead of managing the page tables on modern computers is low

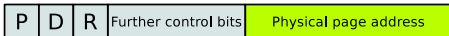
# Page Table Structure

- Each page table record contains among others:
  - **Present bit**: Specifies whether the page is stored inside the main memory
  - **Dirty bit** (*Modified-Bit*): Specifies whether the page has been modified
  - **Reference bit**: Specifies whether the page was referenced (even read operations!)  $\implies$  this is eventually relevant for the page replacement strategy used
  - **Further control bits**: Here is among others specified whether. . .
    - User mode processes have only read access to the page or write access too (*read/write bit*)
    - User-mode processes are allowed to access the page (*user/supervisor bit*)
    - Modifications are immediately passed down (*write-through*) or when the page is removed (*write-back*) from main memory (*write-through bit*)
    - The page may be loaded into the cache or not (*cache-disable bit*)
  - **Physical page address**: Is concatenated with the offset of the virtual address

Virtual (logical) address

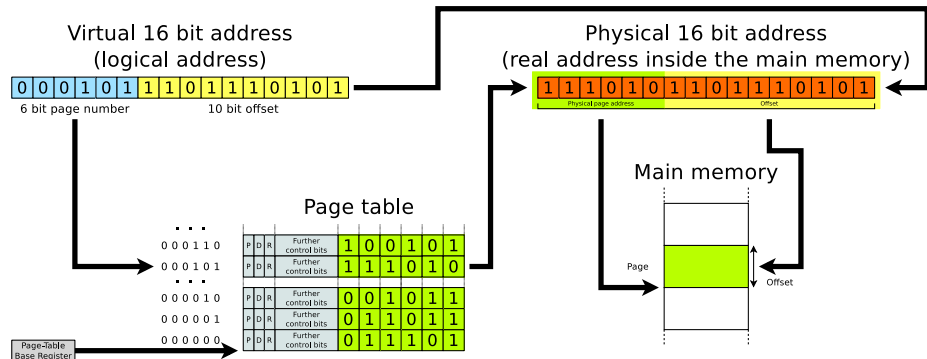


Page table entry





# Address Translation with Paging (single level)



- Single level paging is sufficient in 16 bit architectures
- For architectures  $\geq 32$  bit the operating systems implement multi-level paging

Two registers enable the MMU to access the page table

- Page-Table Base Register (PTBR): Address where the page table of the current process starts
- Page-Table Length Register (PTLR): Length of the page table of the current process

# Address Translation with Paging (Two levels)

Virtual 32 bit address  
(logical address)



Physical 32 bit address  
(real address inside the main memory)

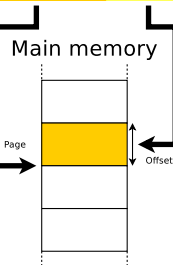


Page table directory  
(first layer)

1023	P	Further control bits	Page table
1022	P	Further control bits	Page table
1021	P	Further control bits	Page table
1020	P	Further control bits	Page table
⋮	⋮	⋮	⋮
1	P	Further control bits	Page table
0	P	Further control bits	Page table

Page table  
(second layer)

1023	P	D	R	Further control bits	Physical page address
1022	P	D	R	Further control bits	Physical page address
⋮	⋮	⋮	⋮	⋮	⋮
137	P	D	R	Further control bits	Physical page address
136	P	D	R	Further control bits	Physical page address
135	P	D	R	Further control bits	Physical page address
⋮	⋮	⋮	⋮	⋮	⋮
1	P	D	R	Further control bits	Physical page address
0	P	D	R	Further control bits	Physical page address



The topic Paging is clearly explained by...

- *Operating Systems, William Stallings, 4<sup>th</sup> edition, Pearson (2003), S.394-399*
- <http://wiki.osdev.org/Paging>

# Why multi-level Paging?

We already know...

- In 32 bit operating systems with 4 kB page length, the page table of each process can be 4 MB in size (see slide 35)
- In 64 bit operating systems, the page tables can be much larger

- Multi-level paging reduces the main memory usage
  - When calculating a physical address, the operating system scans the pages of the different levels step by step
  - If required, individual pages of the different levels can be relocated to the swap storage to free up storage capacity in the main memory

Architecture	Page Table	Virtual Address Length	Partitioning <sup>a</sup>
IA32 (x86-32)	2 levels	32 Bits	10+10+12
IA32 with PAE <sup>b</sup>	3 levels	32 Bits	2+9+9+12
PPC64	3 levels	41 Bits	10+10+9+12
AMD64 (x86-64)	4 levels	48 Bits	9+9+9+9+12
Intel Ice Lake Xeon Scalable <sup>c</sup>	5 levels	57 Bits	9+9+9+9+9+12

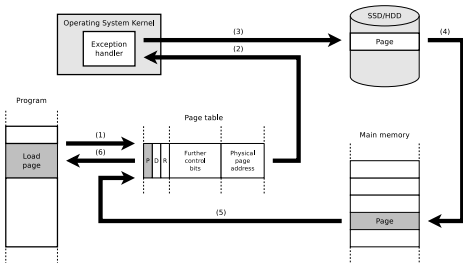
<sup>a</sup> The last number indicates the length of the offset in bits. The remaining numbers indicate the lengths of the page

<sup>b</sup> PAE = Physical Address Extension. With this paging extension of the Pentium Pro processor, more than 4 GB of R can be addressed by the operating system. However, the memory usable per process is still limited to 4 GB.

<sup>c</sup> <https://software.intel.com/content/dam/develop/public/us/en/documents/5-level-paging-white-paper.pdf>

# Page Fault Exception

- A process tries (1) to **request a page** which is currently not located in physical main memory
  - The **present bit** in each page table record indicates whether the page is located inside main memory or not
- A **software interrupt (exception)** is triggered (2) to switch from **user mode to kernel mode**
- The operating system...
  - **locates** (3) the page by using the controller and the device driver on the swap memory (SSD/HDD)
  - **copies** (4) the page into a free page of the main memory
  - **updates** (5) the page table
  - **returns control** to the process (6)
    - The process again executes the instruction that caused the page fault



# Access Violation Exception or General Protection Fault Exception

- Also called **Segmentation fault** or **Segmentation violation**
  - A paging issue which has nothing to do with segmentation!
- A process tries to request a virtual memory address which it is not allowed to request

```
A problem has been detected and windows has been shut down to prevent damage to your computer.
The problem seems to be caused by the following file: SPMDCON.SYS
PAGE_FAULT_IN_NONPAGED_AREA
If this is the first time you've seen this Stop error screen,
restart your computer. If this screen appears again, follow
these steps:
Check to make sure any new hardware or software is properly installed.
If this is a new installation, ask your hardware or software manufacturer
for any windows updates you might need.
```

## Windows

An error has occurred. To continue:

Press Enter to return to Windows, or

Press CTRL+ALT+DEL to restart your computer. If you do this, you will lose any unsaved information in all open applications.

Error: 0E : 016F : BFF9B3D4

Press any key to continue \_

- Result: Legacy Windows systems crash (blue screen), Linux returns the signal SIGSEGV
- **Example:** A process tries to carry out a write operation on a read-only page

Source: Herold H. (1996) *UNIX-Systemprogrammierung*. 2<sup>nd</sup>. Addison-Wesley  
Image source (top): Reader781. Wikimedia (CC0)  
Image source (bottom): Akhristov. Wikimedia (CC0)

# Summary: Real Mode and Protected Mode

## ■ Real mode

- Operating mode of x86-compatible CPUs
- The CPU accesses the main memory equal to an Intel 8086 CPU
- No memory protection
  - Each process can access the entire main memory

## ■ Protected mode

- Modern operating systems (for x86) operate in protected mode and implement paging
- Operating mode of x86-compatible CPUs
- Implements the **virtual memory** concept

# Kernel Space and User Space (only on 32-bit systems)

- 32-bit operating systems split the virtual address space of each process into **kernel space** and **user space**
  - Kernel space = area for the kernel and kernel extensions (drivers)
  - User space = area for the currently running process, which is extended with *swap* (Windows: *Page file*) memory
- The virtual address space (virtual memory) of 32-bit CPUs is limited to 4 GB per process
- **Linux**
  - 25% are reserved per default for the system (kernel) and 75% for the user-mode processes
- **Windows**
  - 50% are reserved per default for the system (kernel) and 50% for the user-mode processes

On 64-bit architectures, the 3G/1G or 2G/2G split doesn't apply anymore! When using a 64 bit operating system on x86, all available virtual addresses can be assigned to user mode processes

Sources: <http://stackoverflow.com/questions/1115033/> and <http://stackoverflow.com/questions/19006376>

# Agenda

- Memory Management
- Real Mode
- Protected Mode and Virtual Memory
- Page Replacement Strategies



# Page Replacement

- If the main memory is not big enough to store all pages of running processes, **swapping** is required
- ⇒ Pages in main memory are **replaced** by pages from **swap memory**
- An efficient memory management method for the main memory and cache...
    - keeps those pages inside the memory that are requested frequently
    - identifies those pages that are unlikely to be requested in the near future and replaces them if capacity is needed

# Hit Rate and Miss Rate

- In case of a request to a computer memory, two results are possible:
  - **Hit**: Requested data is available
  - **Miss**: Requested data is missing
- Two key figures are used to evaluate the efficiency of a computer memory
  - **Hit rate**: The number of requests to the computer memory, with result in hit, divided by the total number of requests
    - Result is between 0 and 1
    - The greater the value, the better is the efficiency of the computer memory
  - **Miss rate**: The number of requests to the computer memory, with result in miss, divided by the total number of requests
    - Miss rate =  $1 - \text{hit rate}$

# Page Replacement Strategies

- **Objective:** Keep the data ( $\implies$  **pages**) in main memory that is frequently requested (accessed)
- Some **replacement strategies**:
  - **OPT** (Optimal strategy)
  - **LRU** (Least Recently Used)
  - **LFU** (Least Frequently Used)
  - **FIFO** (First In First Out)
  - **Clock / Second Chance**
  - **TTL** (Time To Live)
  - **Random**

A well understandable explanation of the page replacement strategies. . .

- OPT, FIFO, LRU and Clock provides **Operating Systems**, *William Stallings*, 4<sup>th</sup> edition, Prentice Hall (2001), P.355-363

# Optimal strategy (OPT)

- Replaces the page, which is **not requested for the longest time in the future**
- Impossible to implement!
  - Reason:** Nobody can predict the future
- OPT is **used to evaluate the efficiency** of other replacement strategies



Requests: **1 2 3 4 1 2 5 1 2 3 4 5**

Page 1:	1	1	1	1	1	1	1	1	1	3	3	3
Page 2:		2	2	2	2	2	2	2	2	2	4	4
Page 3:			3	4	4	4	5	5	5	5	5	5

→ 7 Miss

The **requests** are requests for pages inside the virtual address space of a process. If the requested page is not inside the cache, it is read from the main memory or the swap

# Least Recently Used (LRU)

- Replaces the page, which was **not requested for the longest time**
- All pages are referenced in a queue
  - If a page is loaded into memory or referenced, it is moved to the front of the queue
  - If the memory has no more free capacity and a miss occurs, the page at the end of the queue is replaced
- Drawback:** Ignores the number of requests

Requests: **1 2 3 4 1 2 5 1 2 3 4 5**

Page 1:	1	1	1	4	4	4	5	5	5	3	3	3
Page 2:		2	2	2	1	1	1	1	1	1	4	4
Page 3:			3	3	3	2	2	2	2	2	2	5

→ 10 Miss

Queue:

1	2	3	4	1	2	5	1	2	3	4	5
	1	2	3	4	1	2	5	1	2	3	4
		1	2	3	4	1	2	5	1	2	3

# Least Frequently Used (LFU)

- Replaces the page, which was **least often requested**
- For each page inside the memory, a reference counter exists in the page table, in which the operating system stores the number of requests
  - If the memory has no more free capacity and a miss occurs, the page is replaced, which has the lowest value in its reference counter
- Benefit:** Takes into account the number of times pages are requested
- Drawback:** Pages which have been requested often in the past, may block the memory

Requests:    **1 2 3 4 1 2 5 1 2 3 4 5**

Page 1:	<b>1</b> <sub>1</sub>	<b>1</b> <sub>1</sub>	<b>1</b> <sub>1</sub>	<b>4</b> <sub>1</sub>	<b>4</b> <sub>1</sub>	<b>4</b> <sub>1</sub>	<b>5</b> <sub>1</sub>	<b>5</b> <sub>1</sub>	<b>5</b> <sub>1</sub>	<b>3</b> <sub>1</sub>	<b>4</b> <sub>1</sub>	<b>5</b> <sub>1</sub>
Page 2:		<b>2</b> <sub>1</sub>	<b>2</b> <sub>1</sub>	<b>2</b> <sub>1</sub>	<b>1</b> <sub>1</sub>	<b>1</b> <sub>1</sub>	<b>1</b> <sub>1</sub>	<b>1</b> <sub>2</sub>	<b>1</b> <sub>2</sub>	<b>1</b> <sub>2</sub>	<b>1</b> <sub>2</sub>	<b>1</b> <sub>2</sub>
Page 3:			<b>3</b> <sub>1</sub>	<b>3</b> <sub>1</sub>	<b>3</b> <sub>1</sub>	<b>2</b> <sub>1</sub>	<b>2</b> <sub>1</sub>	<b>2</b> <sub>2</sub>	<b>2</b> <sub>2</sub>	<b>2</b> <sub>2</sub>	<b>2</b> <sub>2</sub>	<b>2</b> <sub>2</sub>

→ 10 Miss

# First In First Out (FIFO)

- Replaces the page, which is stored **in memory for the longest time**
- Common assumption: increasing the memory results in fewer or, at worst, the same miss number
- **Problem:** Laszlo Belady demonstrated in 1969 that for certain request patterns, FIFO causes with an expanded memory capacity more miss events ( $\implies$  **Belady's anomaly**)
  - Until the discovery of Belady's Anomaly, FIFO was considered a good replacement strategy

# Belady's Anomaly (1969)

Requests: **1 2 3 4 1 2 5 1 2 3 4 5**

Page 1:	1	1	1	4	4	4	5	5	5	5	5
Page 2:		2	2	2	1	1	1	1	1	3	3
Page 3:			3	3	3	2	2	2	2	2	4

→ 9 Miss

Page 1:	1	1	1	1	1	1	5	5	5	5	4
Page 2:		2	2	2	2	2	2	1	1	1	1
Page 3:			3	3	3	3	3	3	2	2	2
Page 4:				4	4	4	4	4	4	3	3

→ 10 Miss

More information about Belady's anomaly

Belady, Nelson and Shedler. *An Anomaly in Space-time Characteristics of Certain Programs Running in a Paging Machine*. Communications of the ACM. Volume 12 Issue 6. June 1969



# Clock/Second Chance

- This strategy uses the **reference bit** (see slide 36), which exists in the page table for each page
  - If a page is loaded into memory  $\implies$  reference bit = 0
  - If a page is requested  $\implies$  reference bit = 1
- A pointer indicates the last requested page
- In case of a miss, the memory is searched from the position of the pointer for the first page, whose reference bit has value 0
  - This page is replaced
  - For all pages, which are examined during the searching, where the reference bit has value 1, it is set to value 0

Requests: **1 2 3 4 1 2 5 1 2 3 4 5**

Page 1:	$0_1^x$	$0_1$	$0_1$	$0_4^x$	$0_4$	$0_4$	$0_5^x$	$0_5$	$0_5$	$0_3^x$	$0_4^x$	$0_4$
Page 2:		$0_2^x$	$0_2$	$0_2$	$0_1^x$	$0_1$	$0_1$	$1_1^x$	$1_1$	$1_1$	$1_1$	$0_5^x$
Page 3:			$0_3^x$	$0_3$	$0_3$	$0_2^x$	$0_2$	$0_2$	$1_2^x$	$1_2$	$0_2$	$0_2$

→ 10 Miss

Linux, BSD-UNIX, VAX/VMS (originally from Digital Equipment Corporation), Windows NT 4.0 on uniprocessors systems and modern Windows operating systems implement the clock replacement strategy or variants of this strategy

## Further Replacement Strategies

- **TTL (Time To Live):** Each page gets a time to live value, when it is stored in the memory
  - If the TTL has exceeded, the page can be replaced

This concept is not used in operating systems but it is useful for the caching of Web pages (Internet contents)

Interesting source: [Caching with expiration times](https://www.cc.gatech.edu/~mihail/www-papers/soda02.pdf). Gopalan P, Harloff H, Mehta A, Mihail M, Vishnoi N (2002)  
<https://www.cc.gatech.edu/~mihail/www-papers/soda02.pdf>

- **Random:** Random pages are replaced
  - **Benefits:** Simple and resource-saving replacement strategy
    - Reason: No need to store information about the requests

The random replacement strategy is (was) used in practice

- The operating systems IBM OS/390 and Windows NT 4.0 on SMP systems use the random replacement strategy  
(Source OS/390: Pancham P, Chaudhary D, Gupta R. (2014) *Comparison of Cache Page Replacement Techniques to Enhance Cache Memory Performance*. International Journal of Computer Applications. Volume 98, Number 19)  
(Source NT4: <http://www.itprotoday.com/management-mobility/inside-memory-management-part-2>)
- The Intel i860 RISC CPU uses the Random replacement strategy for the cache  
(Source: Rhodehamel M. (1989) *The Bus Interface and Paging Units of the i860 Microprocessor*. Proceedings of the IEEE International Conference on Computer Design. P. 380-384)

You should now be able to answer the following questions:

- What are the fundamental concepts of **memory management**?
  - How do **static and dynamic partitioning** and how does **Buddy memory allocation** work?
- What is the difference between **memory access** via **real mode** and **protected mode**
- Which components and concepts are required to implement **virtual memory**?
- What are the characteristics of common **replacement strategies**?

