



































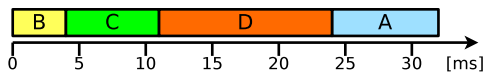




# Priority-driven Scheduling – Example

- Four processes shall be processed on a system with a single CPU
- All processes are at time point 0 in state ready
- Execution order of the processes as Gantt chart (timeline)

Process	CPU time	Priority
A	8 ms	15
B	4 ms	3
C	7 ms	4
D	13 ms	8



■ Runtime of the processes

Process	A	B	C	D
Runtime	32	4	11	24

Avg.

$$\text{runtime} = \frac{32+4+11+24}{4} = 17.75 \text{ ms}$$

■ Waiting time of the processes

Process	A	B	C	D
Waiting time	24	0	4	11

$$\text{Avg. waiting time} = \frac{24+0+4+11}{4} = 9.75 \text{ ms}$$



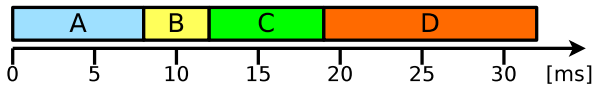
# First Come First Served (FCFS)

- Works according to the principle **First In First Out** (FIFO)
- Running processes are not interrupted
  - It is **non-preemptive scheduling**
- FCFS is **fair**
  - All processes are executed
- The **average waiting time may be very high** under certain circumstances
  - Processes with short execution time may need to wait for a long time if processes with long execution times have arrived before
- FCFS/FIFO can be used for  $\implies$  **batch processing**
- FIFO is used in Linux for non-preemptive **real-time** processes

# First Come First Served – Example

- Four processes shall be processed on a system with a single CPU
- Execution order of the processes as Gantt chart

Process	CPU time	Creation time
A	8 ms	0 ms
B	4 ms	1 ms
C	7 ms	3 ms
D	13 ms	5 ms



- Runtime of the processes

Process	A	B	C	D
Runtime	8	11	16	27

$$\text{runtime} = \frac{8+11+16+27}{4} = 15.5 \text{ ms}$$

- Waiting time of the processes

Process	A	B	C	D
Waiting time	0	7	9	14

$$\text{Avg. waiting time} = \frac{0+7+9+14}{4} = 7.5 \text{ ms}$$

# Last Come First Served (LCFS)

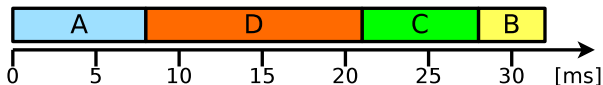
- Works according to the principle **Last In First Out** (LIFO)
- Processes are executed in the reverse order of creation
  - The concept is equal with a stack
- Running processes are **not interrupted**
  - The processes have the CPU assigned until process termination or voluntary resigning
- LCFS is **not fair**
  - The case of continuous creation of new processes, the old processes are not taken into account and thus may **starve**
- FCFS/FIFO can be used for  $\implies$  **batch processing**
  - Is seldom used in pure form

# Last Come First Served – Example

- Four processes shall be processed on a system with a single CPU

Process	CPU time	Creation time
A	8 ms	0 ms
B	4 ms	1 ms
C	7 ms	3 ms
D	13 ms	5 ms

- Execution order of the processes as Gantt chart



- Runtime of the processes

Process	A	B	C	D
Runtime	8	31	25	16

$$\frac{8+31+25+16}{4} = 20 \text{ ms}$$

- Waiting time of the processes

Process	A	B	C	D
Waiting time	0	27	18	3

$$\frac{0+27+18+3}{4} = 12 \text{ ms}$$

# Last Come First Served – Preemptive Variant (LCFS-PR)

- A new process in state ready **replaces** the currently executed processes from the CPU
  - Processes, which get the CPU resigned, are inserted at the end of the queue
  - If no new processes are created, the running process has the CPU assigned until process termination or voluntary resigning
- **Prefers processes with a short execution time**
  - The execution of a process with a short execution time may be completed before new process are created
  - Processes with a long execution time may get the CPU resigned several times and thus significantly delayed
- LCFS-PR is **not fair**
  - Processes with a long execution time may never get the CPU assigned and **starve**
- Is seldom used in pure form

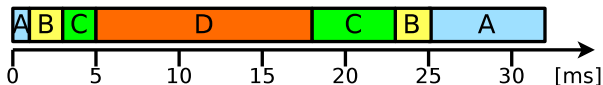


# Last Come First Served Example – Preemptive Variant

- Four processes shall be processed on a system with a single CPU

Process	CPU time	Creation time
A	8 ms	0 ms
B	4 ms	1 ms
C	7 ms	3 ms
D	13 ms	5 ms

- Execution order of the processes as Gantt chart



- Runtime of the processes

Process	A	B	C	D
Runtime	32	24	20	13

$$\frac{32+24+20+13}{4} = 22.25 \text{ ms}$$

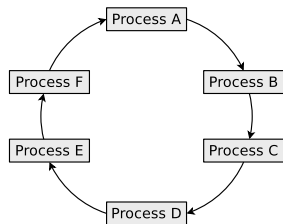
- Waiting time of the processes

Process	A	B	C	D
Waiting time	24	20	13	0

$$\frac{24+20+13+0}{4} = 14.25 \text{ ms}$$

# Round Robin – RR (1/2)

- Time slices with a fixed duration (may be  $\infty$ !) are specified
- The processes are queued in a cyclic queue according to the FIFO principle
  - The first process of the queue gets the CPU assigned for the duration of a time slice
  - After the expiration of the time slice, the process gets the CPU resigned and it is positioned at the end of the queue
  - Whenever a process is completed successfully, it is removed from the queue
    - New processes are inserted at the end of the queue
- The CPU time is distributed **fair** among the processes
- RR with time slice size  $\infty$  behaves like  $\rightarrow$  FCFS



## Round Robin – RR (2/2)

- The longer the execution time of a process is, the more rounds are required for its complete execution
- The size of the time slices influences the performance of the system
  - The shorter they are, the more process switches must take place  
⇒ Increased overhead
  - The longer they are, the more gets the simultaneousness lost  
⇒ The system hangs/becomes **jerky**
- The size of the time slices is usually in single or double-digit millisecond range
- Prefers processes with short execution time
- Preemptive scheduling method
- Round Robin scheduling can be used for interactive systems
- Round Robin is used in Linux for preemptive *real-time* processes



# Shortest Job First (SJF) / Shortest Process Next (SPN)

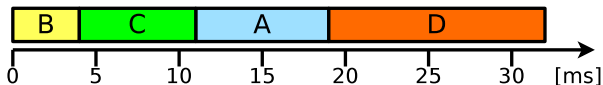
- The process with the shortest execution time get the CPU assigned first
- **Non-preemptive scheduling method**
- **Problem:** The runtime of each process needs to be known in advance
- **Solution:** Execution time is estimated by analyzing its behavior in the past
- SJF is **not fair**
  - **Prefers processes, which have a short execution time**
  - Processes with a long execution time may get the CPU assigned only after a very long waiting period or **starve**
- If the execution time of the processes can be estimated, SJF can be used for batch processing)

# Shortest Job First – Example

- Four processes shall be processed on a system with a single CPU
- All processes are at time point 0 in state ready

Process	CPU time
A	8 ms
B	4 ms
C	7 ms
D	13 ms

- Execution order of the processes as Gantt chart



- Runtime of the processes

Process	A	B	C	D
Runtime	19	4	11	32

$$\frac{19+4+11+32}{4} = 16.5 \text{ ms}$$

- Waiting time of the processes

Process	A	B	C	D
Waiting time	11	0	4	19

$$\frac{11+0+4+19}{4} = 8.5 \text{ ms}$$

# Shortest Remaining Time First (SRTF)

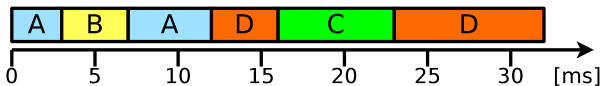
- **Preemptive** SJF is called **Shortest Remaining Time First (SRTF)**
- If a new process is created, the remaining execution time of the running process is compared with each process in state `ready` in the queue
  - If the currently running process has the shortest remaining execution time, the CPU remains assigned to this process
  - If one or more processes in state `ready` have a shorter remaining execution time, the process with the shortest remaining execution time gets the CPU assigned
- Estimation of runtime is required
- As long as no new process is created, no running process gets interrupted
  - The processes in state `ready` are compared with the running process only when a new process is created!
- Processes with a long execution time may **starve** ( $\implies$  **not fair**)

# Shortest Remaining Time First – Example

- Four processes shall be processed on a system with a single CPU

Process	CPU time	Creation time
A	8 ms	0 ms
B	4 ms	3 ms
C	7 ms	16 ms
D	13 ms	11 ms

- Execution order of the processes as Gantt chart



- Runtime of the processes

Process	A	B	C	D
Runtime	12	4	7	21

$$\frac{12+4+7+21}{4} = 11 \text{ ms}$$

- Waiting time of the processes

Process	A	B	C	D
Waiting time	4	0	0	8

$$\frac{4+0+0+8}{4} = 3 \text{ ms}$$



# Longest Job First (LJF)

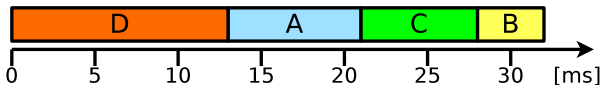
- The process with the longest execution time get the CPU assigned first
- Non-preemptive scheduling method
- Estimation of runtime is required
- LJF is **not fair**
  - Prefers processes, which have a long execution time
  - Processes with a short execution time may get the CPU assigned only after a very long waiting period or **starve**
- If the execution time of the processes can be estimated, LJF can be used for batch processing)

# Longest Job First – Example

- Four processes shall be processed on a system with a single CPU
- All processes are at time point 0 in state ready

Process	CPU time
A	8 ms
B	4 ms
C	7 ms
D	13 ms

- Execution order of the processes as Gantt chart



- Runtime of the processes

Process	A	B	C	D
Runtime	21	32	28	13

$$\frac{21+32+28+13}{4} = 23.5 \text{ ms}$$

- Waiting time of the processes

Process	A	B	C	D
Waiting time	13	28	21	0

$$\frac{13+28+21+0}{4} = 15.5 \text{ ms}$$

# Longest Remaining Time First (LRTF)

- **Preemptive** LJF is called **Longest Remaining Time First (LRTF)**
- If a new process is created, the remaining execution time of the running process is compared with each process in state `ready` in the queue
  - If the currently running process has the longest remaining execution time, the CPU remains assigned to this process
  - If one or more processes in state `ready` have a longer remaining execution time, the process with the longest remaining execution time gets the CPU assigned
- Estimation of runtime is required
- As long as no new process is created, no running process gets interrupted
  - The processes in state `ready` are compared with the running process only when a new process is created!
- Processes with a short duration may starve ( $\implies$  **not fair**)





# Earliest Deadline First (EDF)

- Used in *real-time operating operating systems* (RTOS)
- **Objective:** processes should comply with their *deadlines* when possible
- Processes in ready state are **arranged according to their deadline**
  - The process with the closest deadline gets the CPU assigned next
- The queue is reviewed and reorganized whenever. . .
  - a new process switches into state ready
  - or an active process terminates
- Can be implemented as **preemptive** and **non-preemptive scheduling**
  - Preemptive EDF can be used in real-time operating systems
  - Non-preemptive EDF can be used for batch processing
- EDF is used in Linux for preemptive real-time processes



# Multilevel Scheduling

- With each scheduling policy, compromises concerning the different scheduling criteria must be made
  - Procedure in practice: Several scheduling strategies are combined  
⇒ **Static or dynamic multilevel scheduling**





# Static Multilevel Scheduling (2/2)

- Example of allocating the processes to different process classes (sublists) with different scheduling strategies:

Priority	Process class	Scheduling method
3	Real-time processes (time-critical)	Priority-driven scheduling
3	Interactive processes	Round Robin
2	I/O-intensive processes	Round Robin
1	Compute-intensive batch processes	First Come First Served















